PANAGIOTU PENSION ADVISORS, INC.

NEW CLIENT INFORMATION SHEET (REVISED 11/2016)

Client/Plan # _________ Other Plan/Service? # ____________ Client Rating _________ Start Date ____________________

Name of Plan ____

Employer Name ____

**** Primary Contact/Title*** ____

Direct Phone # __________________Ext. _______ Direct Fax # _______________ Cell # ____________________

Email ____

Annual/5500 ☐ Engagement ☐ General News ☐ Plan Amendments ☐ *CENSUS* ☐ Seminars ☐

**** Secondary Contact/Title*** ____

Direct Phone # _______________________ Ext. _______ Cell #_______________________________

Email ____

Annual/5500 ☐ Engagement ☐ General News ☐ Plan Amendments ☐ *CENSUS* ☐ Seminars ☐

Trustees/Owners: (Legal Name(s) ____

____

Mailing Address ____

Physical Address (if different) ____

Business # _________________________________ Fax # ______________________________

Toll Free # __________________________________ Website ___________________________

Employer ID # __________________________ Trust ID # ______________________________ Plan # _________________

Plan Type _____________ Plan Value _______________ Entity Type______________ Filing as ______________________

Nature of Business ____

Features (circle): X-tst Roth SH Type? _______________ Loans Hardships In-Svc W/D = Age ________

Davis-Bacon Trustee Services Auto Deferral PPA 1099's? Plan Pays PPA Fees? Permitted Disp?

Plan Effective Date ______________________________ 1st Report or PY Ending ___________________________________

Special Instructions ____

____

Accountant/Firm _________________________________ Phone # ______________________________

Invest Advisor/Firm ______________________________ Phone # ______________________________

Platform/Brokerage ____________________ Self-Direct, Pooled? _______________ Payroll Provider __________________

Is this CLIENT: New ☐ Existing ☐ Takeover ☐ = *Outside Plan Doc?* Copy & return info to client? Yes ☐ No ☐

Referred By _________________________________ Doc Fee ______________ Annual Fee__________________________

Acct. Exe _______________ Plan Admin ________________ Plan Designer ______________ OSP ________________